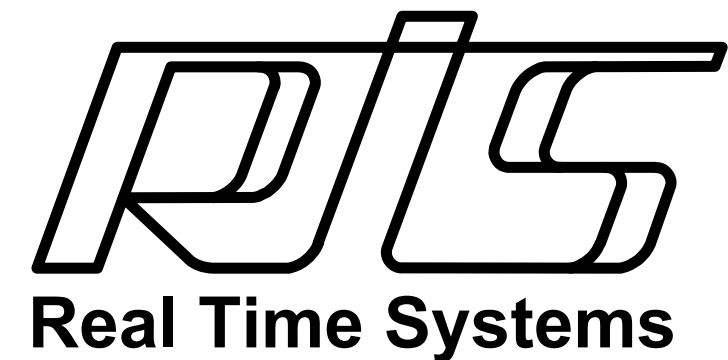


# 2-D non-separable integer implementation of paraunitary filter bank based on the quaternionic multiplier block-lifting structure

*Eugene V. Rybenkov; Nick A. Petrovsky*

`{rybenkov, nick.petrovsky}@bsuir.by`

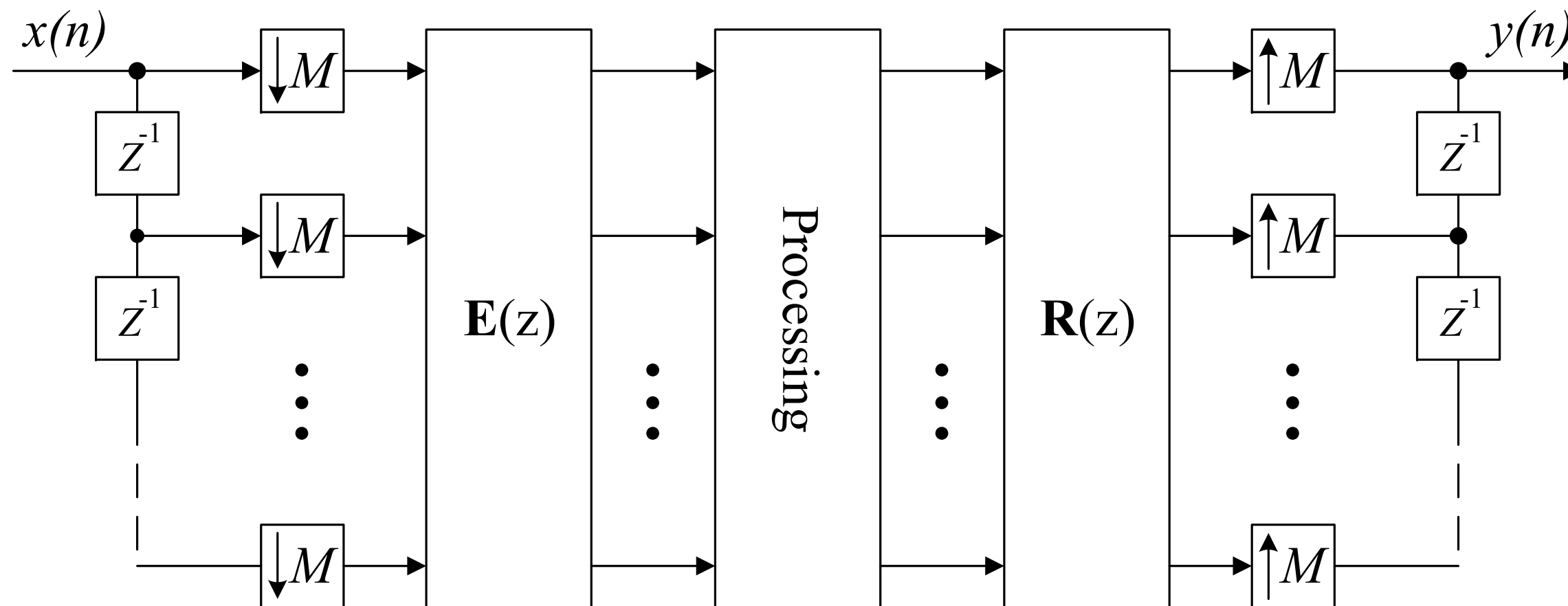
The logo for MOST, featuring the word "MOST" in a bold, blue, sans-serif font. The letter "M" is stylized with a horizontal line passing through it.The logo for Real Time Systems (RTS), featuring the letters "RTS" in a bold, italicized, black font with a white outline, and the text "Real Time Systems" below it.

*Department of Computer Engineering,  
Belarusian State University of Informatics and Radioelectronics*

*EUSIPCO'2019, A Coruña, Spain*

# 1. Introduction.

A polyphase representation of maximally decimated  $M$ -channel filter bank:



where  $\mathbf{E}(z)$  and  $\mathbf{R}(z)$  are analysis and synthesis transfer matrices respectively.

Biorthogonal filter bank (BOFB):  $\mathbf{R}(z) \cdot \mathbf{E}(z) = bz^{-l} \mathbf{I}$ ,  $b \neq 0$ ,  $l \geq 0$ .

Paraunitary filter bank (PUFB):  $\mathbf{E}^T(z^{-1}) \cdot \mathbf{E}(z) = \mathbf{I}$  and  $\mathbf{R}(z) = \mathbf{E}^T(z^{-1})$ .

## 2. The main objective of this work

One-dimensional linear phase PUFB's can be applied to the construction of multidimensional separable systems. 2-D signals (images) are **separately** transformed along vertical and horizontal directions.

However, multidimensional signals are generally **non-separable**, and this approach does not exploit their characteristics **effectively**.

2-D non-separable filter banks (FBs) more effective for image coding than separable FBs, because they take into account the 2-D nature of the input signal and have better frequency characteristics.

The **research goal** is factorization of 2-D non-separable quaternionic paraunitary filter bank (2-D-NSQ-PUFB) and finite-precision FPGA implementation for L2L image coding.

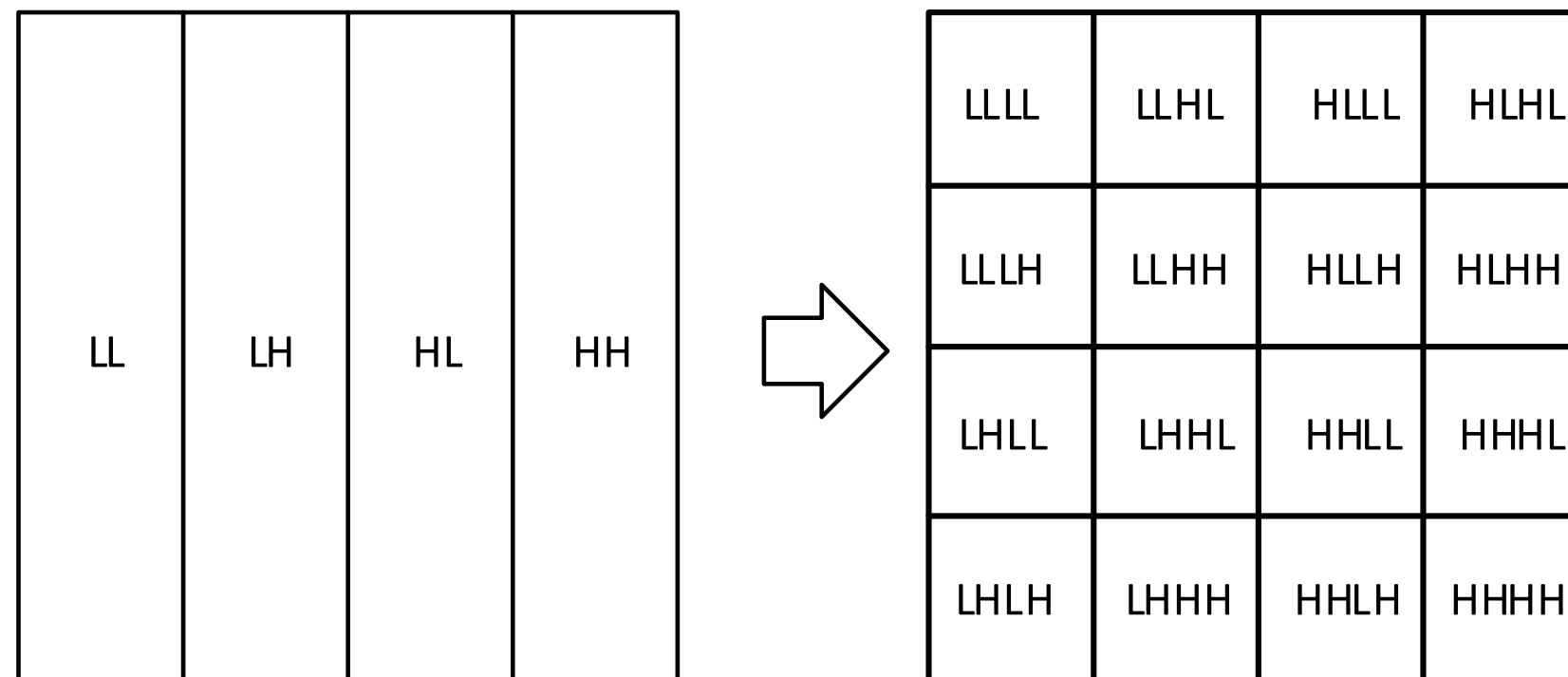
### 3. Separable 2-D transform

The 2-D transform based on the orthogonal transform  $\Theta_{(n,n)}$  applied to 2D input signal  $\mathbf{x}_{(n,n)}$  separately by column and row<sup>1</sup>:

$$\mathbf{y}_{n,n} = \Theta_{n,n} \cdot \mathbf{x}_{n,n} \cdot \Theta_{n,n}^T$$

Intermediate result  $\mathbf{x}_{n,n} \cdot \Theta_{n,n}^T$  require additional memory of size  $n, n$ .

#### Example for 4-channel FB



<sup>1</sup> N. A. Petrovsky, E. V. Rybenkov and A. A. Petrovsky, "Two-dimensional non-separable quaternionic paraunitary filter banks," *2018 Signal Processing: Algorithms, Architectures, Arrangements, and Applications (SPA)*, Poznan, 2018, pp. 120-125. doi: 10.23919/SPA.2018.8563311.

## 4. Memory-efficient high-throughput 2-D filter banks

**Definition 1** ( forward transform ):  $\mathbf{x}_{n^2,1} = \text{tv}(\mathbf{x}_{n,n})$ :

$$\left[ \mathbf{X}_{1,1} \cdots \mathbf{X}_{1,n} \cdots \mathbf{X}_{n,1} \cdots \mathbf{X}_{n,n} \right]^T \stackrel{\text{tv}}{\leftarrow} \left[ \mathbf{x}_{n,n} \right]$$

**Definition 2** ( forward transform of the transposed matrix ):  $\mathbf{z}_{n^2,1} = \text{tv}(\mathbf{x}_{n,n}^T)$ :

$$\left[ \mathbf{X}_{1,1} \cdots \mathbf{X}_{n,1} \cdots \mathbf{X}_{1,n} \cdots \mathbf{X}_{n,n} \right]^T \stackrel{\text{tv}}{\leftarrow} \left[ \mathbf{x}_{n,n} \right]^T$$

The vectors  $\mathbf{z}_{n^2,1}$ ,  $\mathbf{x}_{n^2,1}$  and matrix  $\mathbf{x}_{n,n}$  are related as follows:

$$\mathbf{z}_{n^2,1} = \text{tv}(\mathbf{x}_{n,n}^T) = \mathbf{P} \cdot \text{tv}(\mathbf{x}_{n,n}) = \mathbf{P} \cdot \mathbf{x}_{n^2,1}$$

where  $\mathbf{P}$  is permutation matrix of size  $(n^2 \times n^2)$ , which perform transpose in vector-matrix form.

## 5. Memory-efficient high-throughput 2-D filter banks

The factorization of memory efficient transform:

$$\mathbf{y}_{n^2,1} = \mathfrak{D}(\Theta) \cdot \mathbf{P} \cdot \mathfrak{D}(\Theta) \cdot \mathbf{P} \cdot \mathbf{x}_{n^2,1} = \ddot{\Theta}_{n^2,n^2} \cdot \mathbf{x}_{n^2,1}$$

$$\mathfrak{D}(\Theta) = \text{diag}(\Theta, \dots, \Theta) = \mathbf{I}_n \otimes \Theta_{n,n}$$

where  $\otimes$  is Kronecker product;  $\ddot{\Theta}_{n^2,n^2}$  is 2-D transformation matrix.

The factorization of 2-D separable  $M$ -channel FB ( $M = 4$ ) with polyphase matrix  $\mathbf{E}(z)$ :

$$\mathbf{y}_{M^2,1} = \mathfrak{D}(\mathbf{E}(z)) \cdot \mathbf{P} \cdot \mathfrak{D}(\mathbf{E}(z)) \cdot \mathbf{P} \cdot \mathbf{x}_{M^2,1}$$

2-D separable FB work with a signal of size  $(M \times M)$ .

# 6. Hypercomplex algebra

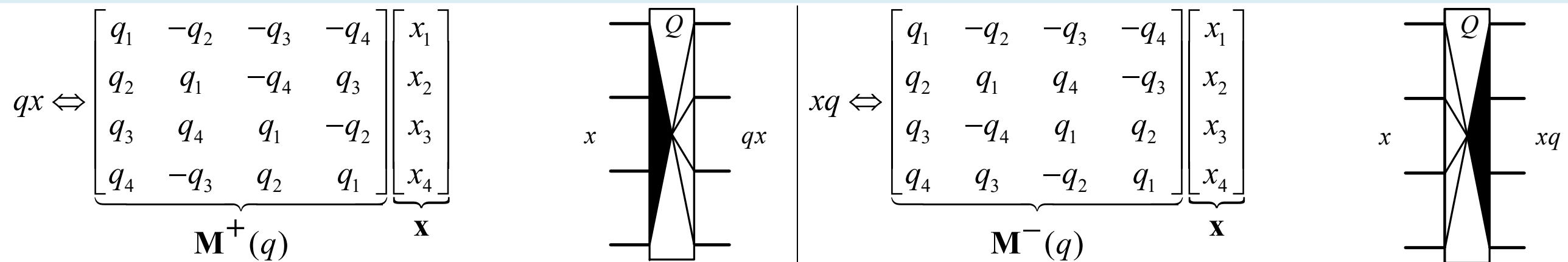
## The Quaternion algebra

The quaternion algebra  $\mathbb{H}$  is an associative non-commutative four-dimensional algebra  $\mathbb{H} = \{\mathbf{q} = q_1 + q_2i + q_3j + q_4k \mid q_1, q_2, q_3, q_4 \in \mathbb{R}\}$ , where the orthogonal imaginary numbers obey the following multiplicative rules<sup>2</sup>:  $i^2 = j^2 = k^2 = ijk = -1, ij = -ji = k, jk = -kj = i, ki = -ik = j$

Multiplications by fixed coefficients of **unit norm** quaternions expected  $q = \sqrt{q_1^2 + q_2^2 + q_3^2 + q_4^2} = 1$

The **polar form** quaternion is  $q = |q|e^{i\phi}e^{j\psi}e^{k\chi}$ , where  $-\pi \leq \phi < \pi, -\frac{\pi}{2} \leq \psi \leq \frac{\pi}{2}, -\frac{\pi}{2} \leq \chi \leq \frac{\pi}{2}$ .

Quaternion multiplication can be determined in **matrix notation**



Two different multiplication matrices: the left-operand one  $\mathbf{M}^+(\cdot)$ , and the right-operand  $\mathbf{M}^-(\cdot)$ , which related following way:  $\mathbf{M}^{\mp}(q) = \mathbf{D}_c \mathbf{M}^{\pm}(\bar{q}) \mathbf{D}_c$ , where  $\mathbf{D}_c = \text{diag}(1, -\mathbf{I}_3)$  – hypercomplex **conjugate** in matrix notation.

<sup>2</sup> I. L. Kantor and A. S. Solodovnikov, *Hypercomplex Numbers: an Elementary Introduction to Algebras*. New York, NY: Springer, 1989.

# 7. A quaternionic structure of 4-channel PMI LP PUFB

Factorization of 4-channel quaternionic linear phase filter bank PMI LP PUFBs (  $\mathbf{E}(z)$  is analysis transfer matrix )<sup>3</sup>:

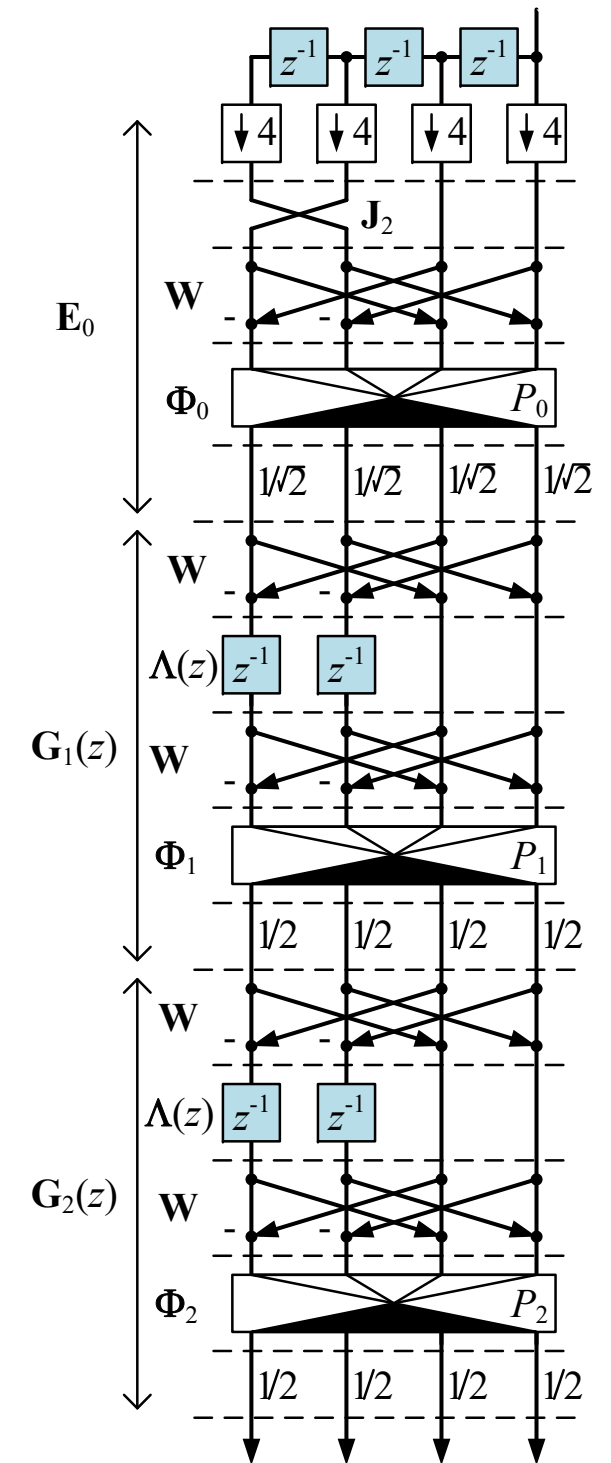
$$\mathbf{E}(z) = \left( \prod_{i=N-1}^1 \mathbf{G}_i(z) \right) \cdot \frac{1}{\sqrt{2}} \Phi_0 \mathbf{W} \cdot \text{diag}(\mathbf{I}_{M/2}, \mathbf{J}_{M/2});$$

$$\mathbf{G}_i(z) = \frac{1}{2} \Phi_i \mathbf{W} \cdot \Lambda(z) \cdot \mathbf{W}, \quad i = 1, \dots, N-1;$$

$$\mathbf{W} = \begin{bmatrix} \mathbf{I}_2 & \mathbf{I}_2 \\ \mathbf{I}_2 & -\mathbf{I}_2 \end{bmatrix}; \quad \Lambda(z) = \text{diag}(\mathbf{I}_2, z^{-1} \mathbf{I}_2);$$

$$\Phi_i = \mathbf{M}^+ (P_i); \quad \Phi_{N-1} = \mathbf{M}^+ (P_{N-1}) \cdot \text{diag}(\mathbf{J}_{M/2} \cdot \Gamma_{M/2}, \mathbf{I}_{M/2}).$$

where  $N$  is order of the factorization;  $\mathbf{I}_{M/2}$  and  $\mathbf{J}_{M/2}$  denote the  $(M/2) \times (M/2)$  identity and reversal matrices, respectively;  $\Gamma_{M/2}$  is diagonal matrix the elements of which are defined as  $\gamma_{mm} = (-1)^{m-1}$ ,  $m = \overline{1, M-1}$ .



<sup>3</sup> M. Parfieniuk and A. Petrovsky, "Inherently lossless structures for eight- and six-channel linear-phase paraunitary filter banks based on quaternion multipliers," *Signal Process.*, vol. 90, pp. 1755–1767, 2010.



## 8. 2-D non-separable PMI LP PUFB

A factorization of Q-PUFB is applied to a 2-D input signal:

$$\mathbf{y}_{n,n} = \mathbf{E} \cdot \mathbf{x}_{n,n} \cdot \mathbf{E}^T = \mathbf{G}_{N-1}(z) \cdots \mathbf{G}_1(z) \cdot \mathbf{E}_0 \cdot \mathbf{x}_{n,n} \cdot \mathbf{E}_0^T \cdot \mathbf{G}_1^T(z) \cdots \mathbf{G}_{N-1}^T(z)$$

Sequence of matrix replacement for PMI LP Q-PUFB:

$$\mathbf{y}_{n,n} = \dots \cdot \mathbf{\Phi}_0 \cdot \mathbf{W} \cdot \text{diag}(\mathbf{I}_{M/2}, \mathbf{J}_{M/2}) \cdot \mathbf{x}_{n,n} \cdot \text{diag}(\mathbf{I}_{M/2}, \mathbf{J}_{M/2})^T \cdot \mathbf{W}^T \cdot \mathbf{\Phi}_0^T \cdot \dots$$

2D non-separable PMI LP Q-PUFB:

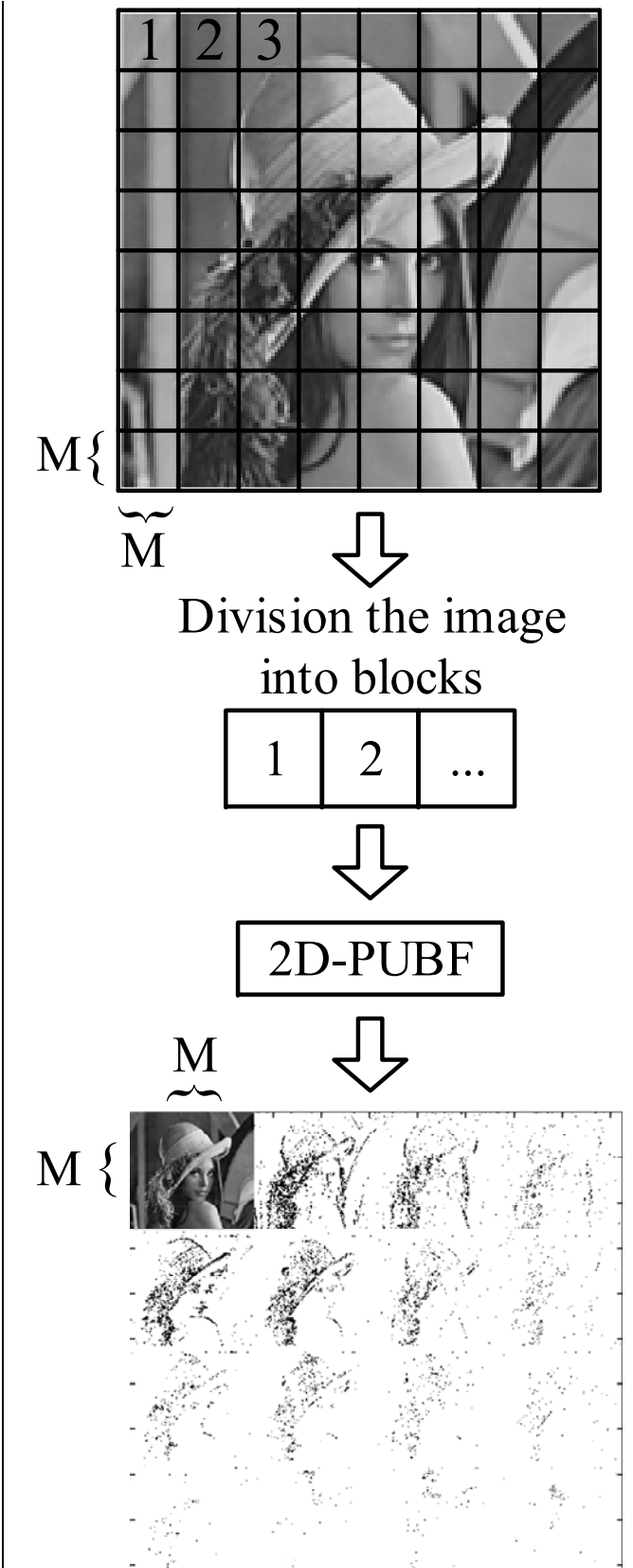
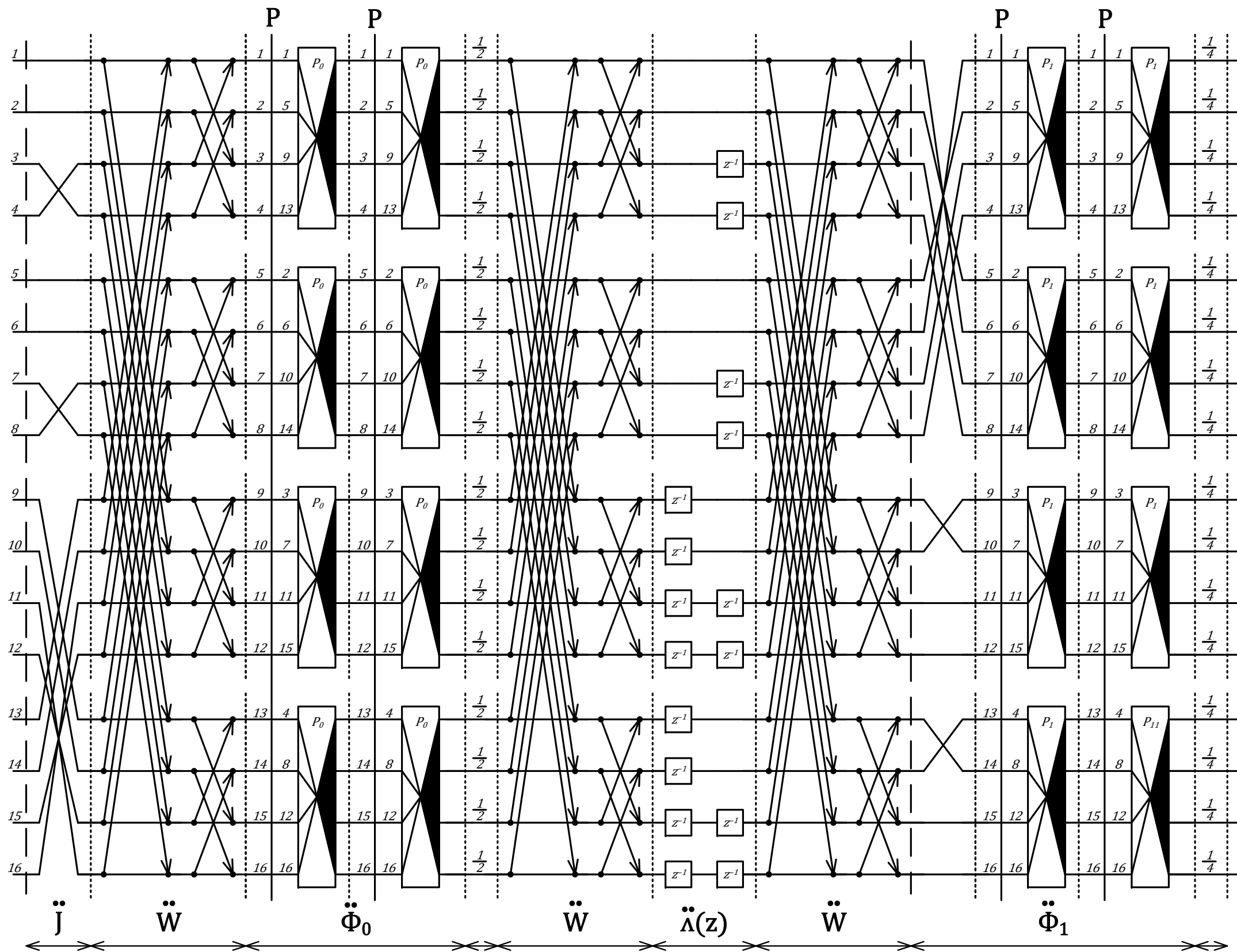
$$\mathbf{y}_{n^2,1} = \ddot{\mathbf{E}}(z) \cdot \mathbf{x}_{n \cdot n,1} = \ddot{\mathbf{G}}_{N-1}(z) \cdot \ddot{\mathbf{G}}_{N-2}(z) \cdots \ddot{\mathbf{G}}_1(z) \cdot \ddot{\mathbf{E}}_0 \cdot \mathbf{x}_{n^2,1}$$

$$\ddot{\mathbf{E}}_0 = \frac{1}{2} \cdot \ddot{\mathbf{\Phi}}_0 \cdot \ddot{\mathbf{W}} \cdot \mathfrak{D}(\text{diag}(\mathbf{I}_{M/2}, \mathbf{J}_{M/2})) \cdot \mathbf{P} \cdot \mathfrak{D}(\text{diag}(\mathbf{I}_{M/2}, \mathbf{J}_{M/2})) \cdot \mathbf{P}$$

$$\ddot{\mathbf{G}}_i = \frac{1}{4} \cdot \ddot{\mathbf{\Phi}}_i \cdot \ddot{\mathbf{W}} \cdot \ddot{\mathbf{\Lambda}}(z) \cdot \ddot{\mathbf{W}}.$$

where  $\bullet\bullet$  denotes the 2-D transformation matrix.

# 9. 2-D non-separable 4-channel PMI LP PUFB



# 10. Block Lifting factorization of $M^+(q)$

The **left-operand** multiplication matrix  $M^+(q)$  can be of the following structure<sup>4</sup>:

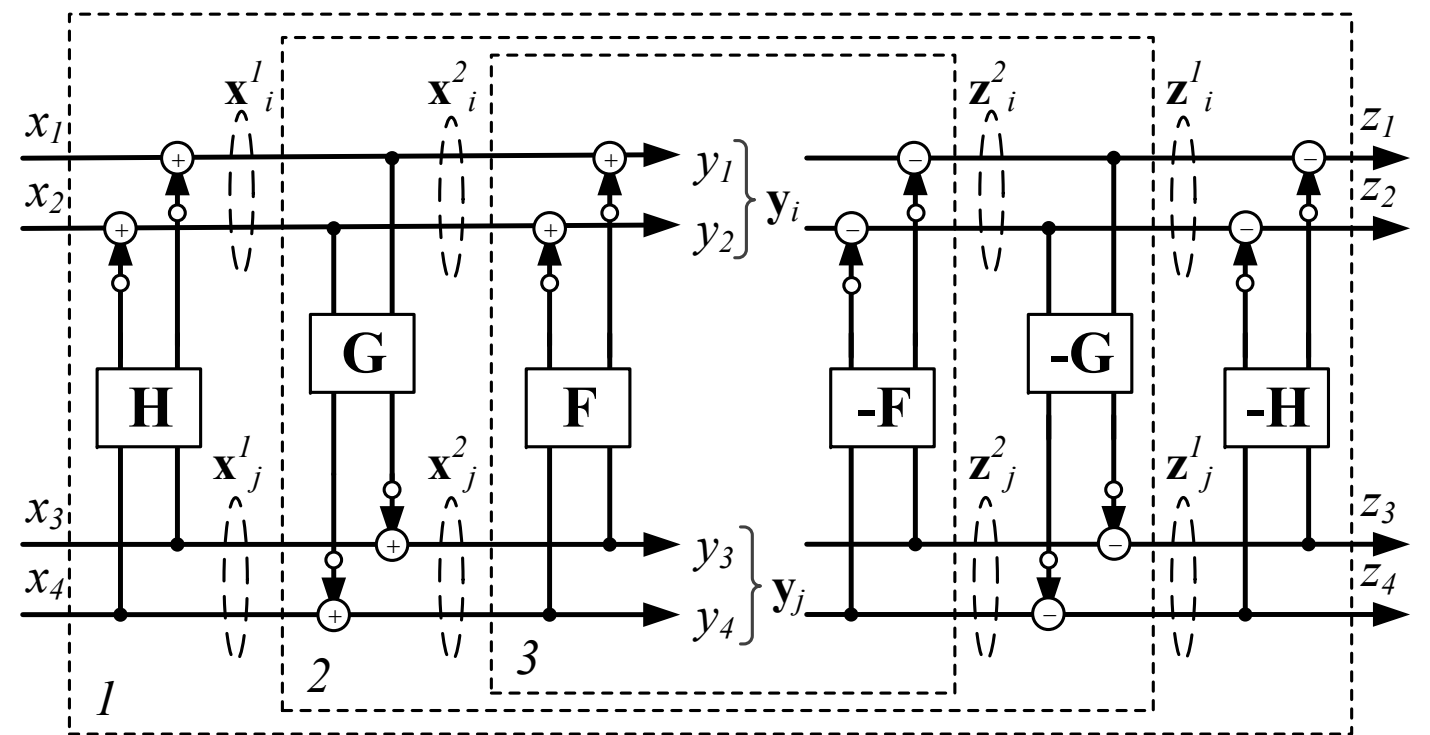
$$\mathbf{M}^+(Q) = \begin{bmatrix} \mathbf{C}(Q) & -\mathbf{S}(Q) \\ \mathbf{S}(Q) & \mathbf{C}(Q) \end{bmatrix}; \mathbf{C}(Q) = \begin{bmatrix} q_1 & -q_2 \\ q_2 & q_1 \end{bmatrix}; \mathbf{S}(Q) = \begin{bmatrix} q_3 & q_4 \\ q_4 & -q_3 \end{bmatrix};$$

$$\mathbf{F}(Q) = (\mathbf{C}(Q) \mp \mathbf{I}_2) \mathbf{S}(Q)^{-1}; \mathbf{G}(Q) = \mathbf{S}(Q); \mathbf{H}(Q) = \mathbf{S}(Q)^{-1} (\mathbf{C}(Q) \mp \mathbf{I}_2).$$

The quaternion multiplication as integer-to-integer operator:

$$\mathbf{M}^+(Q) = \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{F}(Q) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{U}(Q)} \underbrace{\begin{bmatrix} \mathbf{I}_2 & 0 \\ \mathbf{G}(Q) & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{L}(Q)} \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{H}(Q) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{V}(Q)};$$

$$\mathbf{M}^+(\bar{Q}) = \underbrace{\begin{bmatrix} \mathbf{I}_2 & -\mathbf{H}(Q) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{U}(\bar{Q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & 0 \\ -\mathbf{G}(Q) & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{L}(\bar{Q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & -\mathbf{F}(Q) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{V}(\bar{Q})}.$$



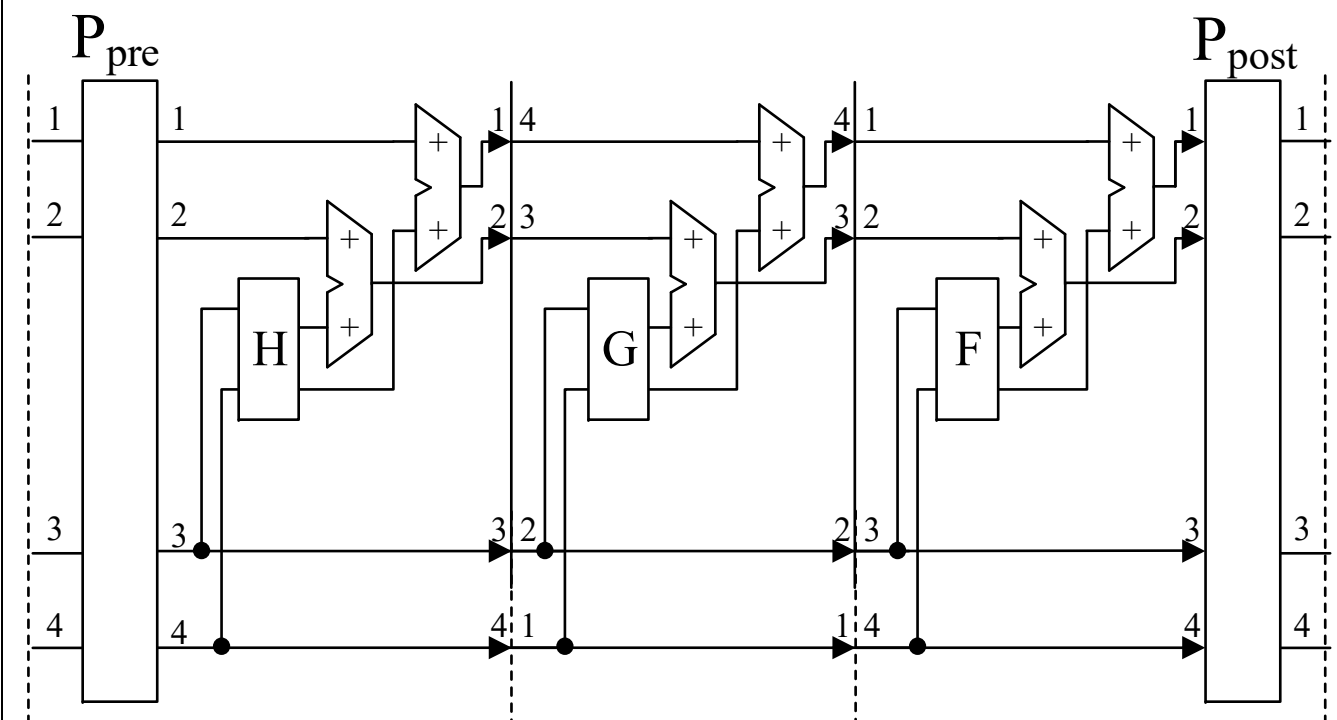
<sup>4</sup> M. Parfieniuk and A. Petrovsky, "Quaternion multiplier inspired by the lifting implementation of plane rotations," IEEE Trans. Circuits Syst. I, vol. 57, no. 10, pp. 2708–2717, Oct. 2010.

# 11. Controlling the dynamic range of lifting coefficients

The use of the ladder circuit parameterization increases the dynamic range of the matrix coefficients, and that is unacceptable for fixed-point arithmetic. Bringing the parameters of the multiplier to the required dynamic range can be achieved if the quaternion multiplication operator selected according to the following equation<sup>5</sup>:

$$\mathbf{M}^{\pm}(Q) = \begin{cases} \mathbf{P}_{post} \cdot \mathbf{M}^{\pm}(\tilde{Q}) \cdot \mathbf{P}_{pre}, & \text{if } \det(\mathbf{P}) = 1, \\ \mathbf{P}_{post} \cdot \mathbf{M}^{\mp}(\tilde{Q}) \cdot \mathbf{P}_{pre}, & \text{if } \det(\mathbf{P}) = -1, \end{cases}$$

$$\begin{aligned} Q\mathbf{x} &= \mathbf{M}^{\pm}(Q)\mathbf{x} = \mathbf{P}_{post} \mathbf{M}^{\pm}(\tilde{Q}) \mathbf{P}_{pre} \mathbf{x} = \\ &= \mathbf{P}_{post} \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{F}(\tilde{Q}) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{U}(\tilde{Q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & 0 \\ \mathbf{G}(\tilde{Q}) & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{L}(\tilde{Q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{H}(\tilde{Q}) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{V}(\tilde{Q})} \mathbf{P}_{pre} \mathbf{x} \end{aligned}$$



<sup>5</sup> M. Parfieniuk and A. Petrovsky, "Quaternion multiplier inspired by the lifting implementation of plane rotations," IEEE Trans. Circuits Syst. I, vol. 57, no. 10, pp. 2708–2717, Oct. 2010.

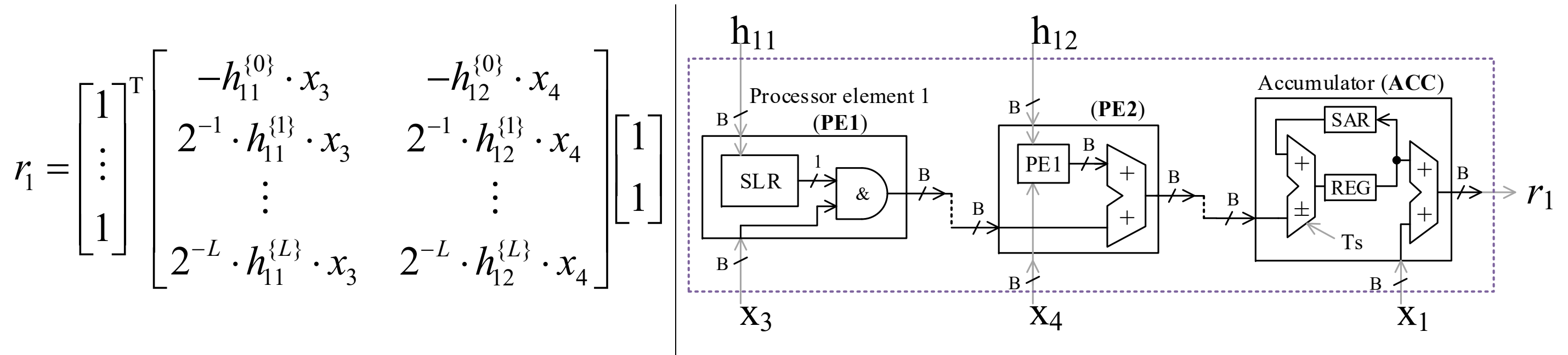
# 12. Universal quaternion multiplier

In order to unify the quaternion multiplier structure, only left multiplication  $\mathbf{M}^+(Q)$  can be used, to adjust it to the required multiplication operator  $\mathbf{M}^+(\bar{Q})$ ,  $\mathbf{M}^-(Q)$  or  $\mathbf{M}^-(\bar{Q})$ :

Rule	Target op.	Modification rule for $\tilde{Q}$
1	$\mathbf{M}^+(Q)$	$\tilde{\mathbf{P}}_{pre} = \mathbf{P}_{pre} \quad \tilde{\mathbf{P}}_{post} = \mathbf{P}_{post}$ $\tilde{\mathbf{F}} = \mathbf{F} \quad \tilde{\mathbf{G}} = \mathbf{G} \quad \tilde{\mathbf{H}} = \mathbf{H}$
2	$\mathbf{M}^+(\bar{Q})$	$\tilde{\mathbf{P}}_{pre} = \mathbf{P}_{post}^T \quad \tilde{\mathbf{P}}_{post} = \mathbf{P}_{pre}^T$ $\tilde{\mathbf{F}} = -\mathbf{H} \quad \tilde{\mathbf{G}} = -\mathbf{G} \quad \tilde{\mathbf{H}} = -\mathbf{F}$
3	$\mathbf{M}^-(Q)$	$\tilde{\mathbf{P}}_{pre} = (\mathbf{P}_{post}^T) \mathbf{D}_c \quad \tilde{\mathbf{P}}_{post} = \mathbf{D}_c (\mathbf{P}_{pre}^T)$ $\tilde{\mathbf{F}} = -\mathbf{H} \quad \tilde{\mathbf{G}} = -\mathbf{G} \quad \tilde{\mathbf{H}} = -\mathbf{F}$
4	$\mathbf{M}^-(\bar{Q})$	$\tilde{\mathbf{P}}_{pre} = \mathbf{P}_{pre} \cdot \mathbf{D}_c \quad \tilde{\mathbf{P}}_{post} = \mathbf{D}_c \cdot \mathbf{P}_{post}$ $\tilde{\mathbf{F}} = \mathbf{F} \quad \tilde{\mathbf{G}} = \mathbf{G} \quad \tilde{\mathbf{H}} = \mathbf{H}$

# 13. Pipeline structure of the integer Q-MUL multiplier

An effective method for matrix multiplication  $\tilde{\mathbf{H}}$  can be formulated in the terms of the adder-based distributed arithmetic following way:

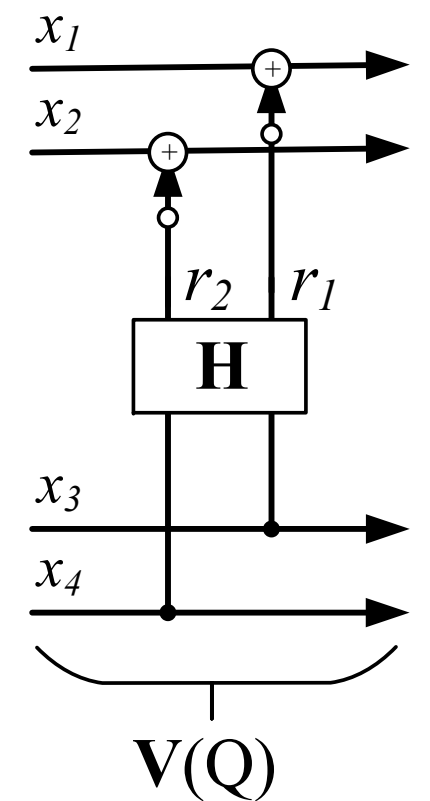


where  $r_i$  are inner products between a fixed coefficients of the block-lifting step  $\mathbf{V}(Q)$  and a variable vector data  $\mathbf{x}_i = [x_1 x_2]^T$  or  $\mathbf{x}_j = [x_3 x_4]^T$ ;

$h_{ij}^{\{t\}} \in \{0, 1\}$  are binary coefficients elements of the matrix  $\mathbf{H}$  in 2's-complement code;  $ij$  – element index;  $t$  – bit position;

$L = B - 1$  – less significant bit position;  $B$  – word length;

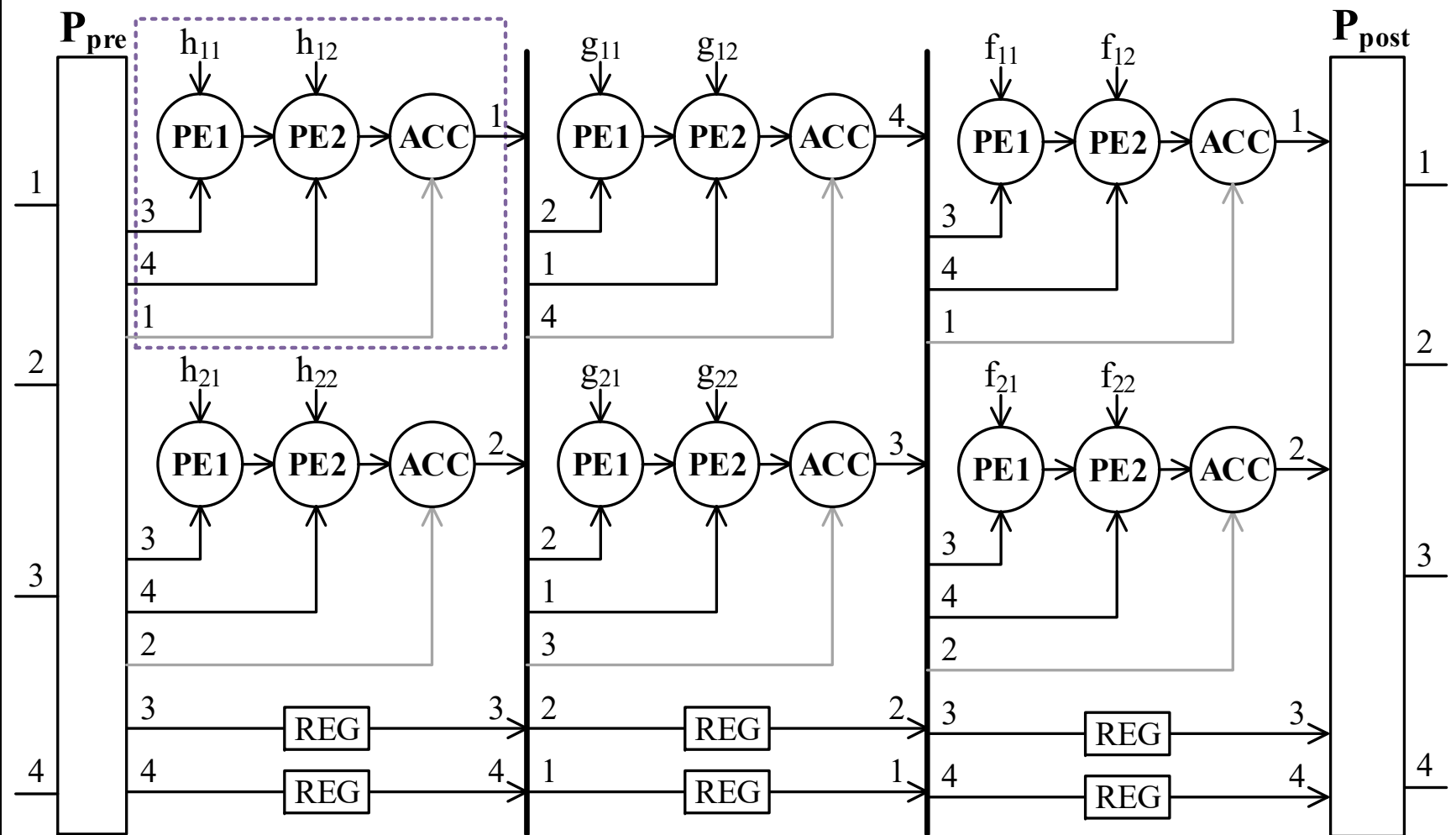
$h_{ij}^{\{0\}}$  – sign bit;  $T_s$  – signal of sign bit.



# 14. Pipelined embedded processor for multiplying quaternions

- The same expression can be obtained for  $r_2$  using second row of matrix  $\mathbf{H}$  and stages  $\mathbf{L}(Q)$  and  $\mathbf{U}(Q)$ .
- The result formation take  $B$  clock cycles for all stages (where  $B$  is word length).
- The pipeline latency of Q-MUL is  $3B$  clock cycles.
- The performance of pipeline is  $f_{CLK} / B$  quaternion multiplication per second.

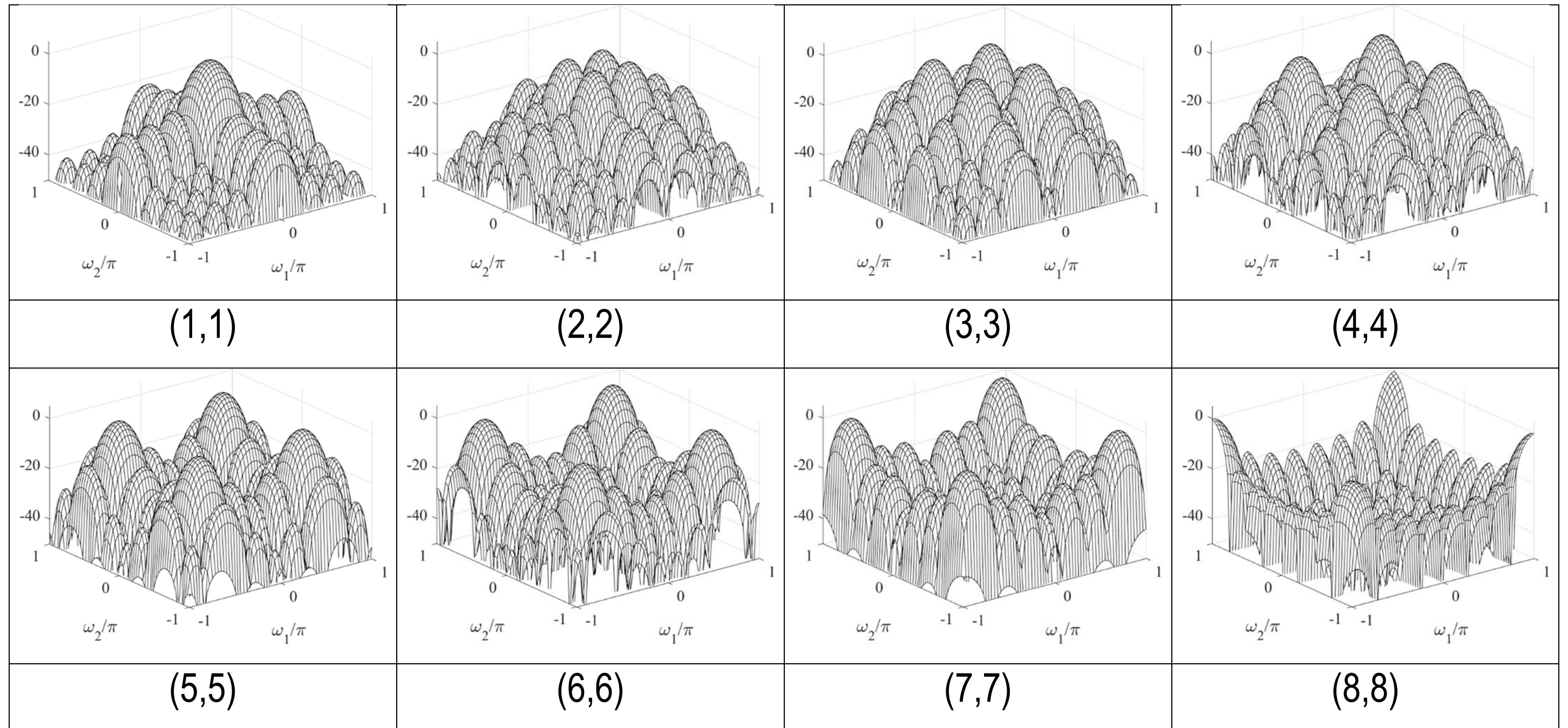
The architecture of pipelined embedded processor



$$qx = \mathbf{M}^+(q) \mathbf{x} = \mathbf{P}_{\text{post}} \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{F}(\tilde{q}) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{U}(\tilde{q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & 0 \\ \mathbf{G}(\tilde{q}) & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{L}(\tilde{q})} \underbrace{\begin{bmatrix} \mathbf{I}_2 & \mathbf{H}(\tilde{q}) \\ 0 & \mathbf{I}_2 \end{bmatrix}}_{\mathbf{V}(\tilde{q})} \mathbf{P}_{\text{pre}} \mathbf{x}$$

# 15. Experimental results

Magnitude responses of 2-D NS Q-PUBF.



The coding gains  $CG_{MD}$  of 2-D non-separable PMI LP Q-PUBF for the isotropic autocorrelation function with the correlation factor  $\rho = 0.95$  are  $CG_{MD} = 13.4 \text{ dB} (M = 4)$ ;  $CG_{MD} = 17.15 \text{ dB} (M = 8)$ .



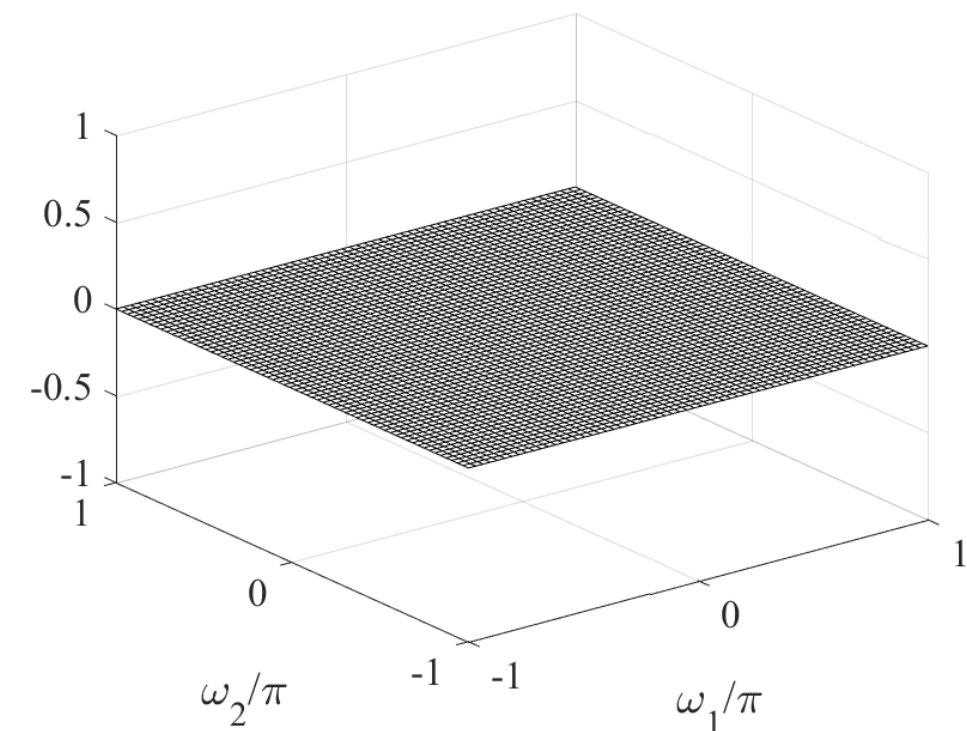
# 16. Experimental results

FPGA resource utilization of Q-MUL.

**Xilinx ZYNQ xc7z020-1-CLG484**

Slice Registers	759
Slice LUTs	560
Clock period frequency	280 MHz
Q-MUL coefficients precision	8 Bits
Input data word length	16 Bits

Total magnitude response of analysis-synthesis system [ dB ].



## Conclusion

- The 2-D-NSQ-PUFB based on the given Q-MUL is a perfect reconstruction filter bank for finite precision, compared to known separable solutions it has less implementation complexity, higher coding gain and stopband attenuation.
- The proposed Q-MUL is versatile, which allows using only  $\mathbf{M}^+(Q)$  left multiplication matrix.
- The latency of parallel-pipeline processing does not depend on the size of the original image.